



# Carboxyl-terminated PAMAM dendrimer interaction with 1-palmitoyl-2-oleoyl phosphocholine bilayers<sup>☆</sup>

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## ARTICLE INFO

### Article history:

Received 11 February 2013  
Received in revised form 14 August 2013  
Accepted 16 August 2013  
Available online 28 August 2013

### Keywords:

1-Palmitoyl-2-oleoyl phosphocholine bilayer  
Carboxyl-terminated dendrimer  
QCMD  
Fluorescence  
AFM

## ABSTRACT

Polyanionic polymers and liposomes have a great potential use as individual drug delivery systems and greater potential as a combined drug delivery system. Thus, it is important to better understand the interactions of polymers with phospholipid bilayers. A mechanistic study of the interaction between carboxyl-terminated poly(amidoamine) (PAMAM) dendrimers with 1-palmitoyl-2-oleoyl-phosphatidylcholine (POPC) bilayer using fluorescence leakage and quartz crystal microbalance with dissipation monitoring (QCMD) was conducted. Fluorescence leakage experiments demonstrated that carboxyl-terminated generation 2 ( $G_2$ -COOH) dendrimers caused increased liposome leakage with increasing dendrimer concentration over a 0 to 20  $\mu$ M range. Generation 5 ( $G_5$ -COOH), on the other hand, reduced leakage over the same concentration range, presumably by increasing lipid packing. QCMD and atomic force microscopy (AFM) measurements demonstrated that  $G_2$ -COOH interacting with supported bilayers resulted in small defects with some mass loss and no adsorption. In contrast,  $G_5$ -COOH interaction with a bilayer resulted in adsorption and local bilayer swelling.

Published by Elsevier B.V.

## 1. Introduction

Recent years have seen a flurry of research into using liposomes [1–4] and dendrimers as separate drug delivery systems [5–8]. Liposomes are thin shells (bilayers) of lipids that are capable of encapsulating hydrophilic and hydrophobic active agents either within their internal medium or within their bilayer, respectively, and have a demonstrated effectiveness of delivering drugs for percutaneous adsorption [9]. However, hydrophilic agents encapsulated within liposomal aqueous media can potentially interact with the headgroups of the bilayer, and hydrophobic agents partitioned within the bilayer can change the physical characteristics (e.g. fluidity and permeability) of the liposome. Thus, liposomal systems present challenges that make them less than ideal as active ingredient delivery systems.

Alternatively, dendrimers do not have the liposomal issues of fluidity and permeability. Dendrimers are polymers containing a central core shell with repeating units [8] that branch outward from the core and allow the polymer to vary in size. The branching creates microcavities between the repeating units in which active agents can encapsulate. In

addition, the branching units terminate with a variety of functional groups, which allow covalent bonding of active agents. The ability to encapsulate and bind active agents makes dendrimers promising delivery systems; however, active agents can be vulnerable to degradation and early release. Thus, combining liposomes and dendrimers into a single, binary delivery system could potentially overcome the deficiencies present in each of the individual systems [10].

The past one and a half decades have seen flurry of research aimed at understanding the interaction between liposomes and dendrimers, the bulk of which focused on membrane–dendrimer interactions to better understand the efficacy of dendrimers with cells. For instance, interaction studies of single-phospholipid liposomes with cationic (amine-terminated) dendrimers were conducted by several groups like Ottaviani et al. (1998, 1999) [11–13]; Zhang and Smith (2000) [12]; Hong et al. (2004) [14]; Mecke et al. (2005) [15,16], consistently demonstrating the disruptive nature of amine-terminated dendrimers ranging in size from generation 3 ( $G_3$ ) to generation 7 ( $G_7$ ). It was also demonstrated that the disruptive nature of amine-terminated dendrimers was affected by the phase state [15,17] and charge of the membrane [12]; not to mention, it was demonstrated that dendrimers could alter the phase state of liposomes [18–20]. Further studies demonstrated that the defects created in phospholipid bilayers were the result of the formation of dendrimers–lipid aggregates or “dendrosomes” [21,22].

Discovery of the dendrimers–lipid interactions led many to explore the possibility of using the dendrimers–lipid complexes as drug delivery systems. One of the earliest studies exploring dendrimers–lipid complexes as drug-delivery systems involved amine-terminated

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dendrimers complexed with a drug (methotrexate) and encapsulated within liposomes [23]. Khopabe et al. (2002) demonstrated that generation 4 (G4) dendrimers encapsulated within liposomes gave the highest drug entrapment. Follow-up work conducted by Papagiannaros et al. (2005) [24] demonstrated that a different G4 dendrimer–drug complex could be attached to instead of encapsulated by liposomes to increase drug incorporation efficiency and bioactivity against cancer cells. Another unique approach used G4 dendrimers to complex with lipid films to make layer-by-layer films for possible drug delivery [25]. The most recent unique use of dendrimers–liposome complexes as potential drug delivery systems involved what is termed as “liposomal locked-in dendrimers” or LLDs, which are liposomes created in the presence of dendrimers. The authors used carboxyl-terminated G4 dendrimers to form LLDs [26] and demonstrated their physico-chemical factors.

A review of the literature showed that although there is a myriad of work entailing the use of amine-terminated dendrimers with phospholipid bilayers [12,15,16,22,27–30], only a small, limited amount of work highlighting the interactions between phospholipid bilayers/carboxyl-terminated dendrimers ( $G_n$ -COOH where  $n$  is the generation number) was done. For instance, it was demonstrated that  $G_7$ -COOH disrupted DMPC bilayers in water with 100 mM NaCl [31]. Shcharbin et al. (2006) demonstrated that  $G_{4.5}$ -COO<sup>−</sup> (deprotonated carboxyl-terminated dendrimers  $G_n$ -COOH) did not disturb gel phase egg yolk phosphocholine in potassium buffer solution at pH 7.4 [32]. Kelly et al. (2008) modeled  $G_3$ -COO<sup>−</sup> with DMPC to show that  $G_3$ -COO<sup>−</sup> extends its hydrophobic core as it approaches a fluid bilayer [33] and flattened out [17], whereas it remained spherical when adsorbed onto gel-phase membrane [17]. Based on this small pool of work, there is not enough information to make any conclusions about the general interactions between  $G_n$ -COOH and phospholipid membranes. For example, does the size of  $G_n$ -COOH dictate the interaction with a membrane like it does for  $G_n$ -NH<sub>2</sub> [16,22]?

Our goal in this work was to understand interactions between carboxyl-terminated dendrimers and a phospholipid membrane, 1-palmitoyl-2-oleoyl-phosphatidylcholine (POPC), to better predict the behavior of potential dendrimer–liposome delivery systems. This work focused on anionic (carboxyl-terminated) PAMAM which was found to

have a lower cell toxicity than cationic (amino-terminated) PAMAM [8,29]. Particularly, concentrations of dendrimers chosen for this work were well below levels found toxic for cells [29]. POPC was chosen because it has equal molar amounts of saturated/unsaturated acyl changes per mole of phospholipid which allows the bilayer to remain in the fluid phase at 37 °C. Fluorescence spectroscopy, quartz crystal microbalance with dissipation monitoring (QCMD), and atomic force microscopy (AFM) were used to describe the interaction of generation 2 ( $G_2$ -COOH) and generation 5 ( $G_5$ -COOH) dendrimers with free liposomes and supported bilayers composed of 1-palmitoyl-2-oleoyl-phosphocholine (POPC).

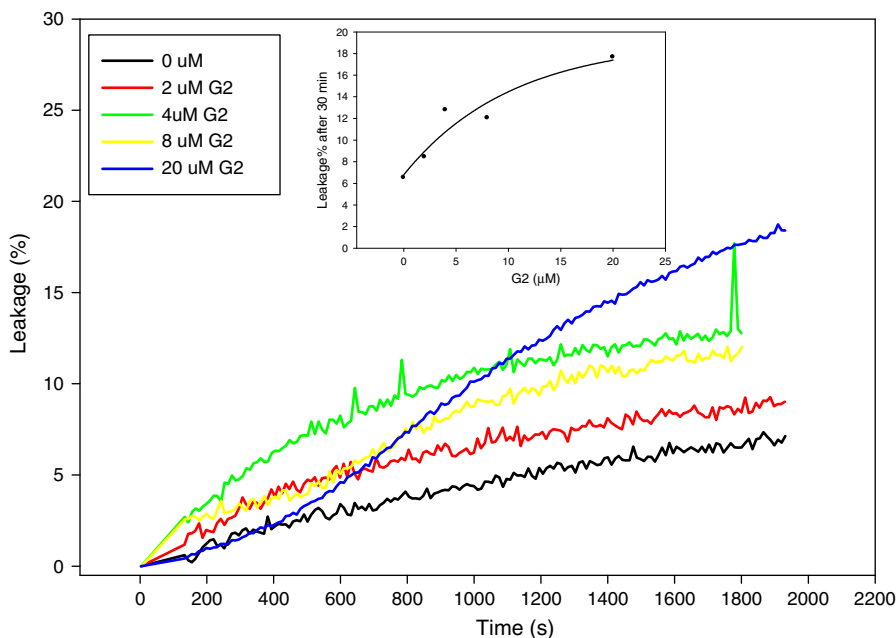
## 2. Experimental details

### 2.1. Reagents and materials

Poly(amidoamine) (PAMAM) carboxylated second generation ( $G_2$ -COOH; MW ~ 2935) and fifth generation ( $G_5$ -COOH; MW ~ 26,252) dendrimers, cobalt (II) chloride hexahydrate, ethylenediaminetetraacetic acid disodium dihydrate (EDTA), and octaethylene glycol monododecyl ether ( $C_{12}E_8$ ) were purchased from Sigma-Aldrich. 1-Palmitoyl-2-dioleoyl-*sn*-glycero-3-phosphocholine (POPC) was bought from Avanti Polar Lipids (Alabaster, AL). High purity calcein was obtained from Invitrogen (Carlsbad, CA). HEPES, Sephadex G-75 column beads, columns and sodium chloride were obtained from Fisher Scientific.

### 2.2. Lipid preparation and formation of unilamellar phospholipid liposomes

Lipid mixtures and liposomes were prepared as described previously [34] with modification. Lipids were prepared from appropriate amounts of POPC in chloroform. POPC solutions were dried under a stream of argon and then placed under vacuum overnight. The dried lipids were rehydrated in the appropriate buffer and mixed periodically to form multilamellar phospholipid liposomes. The liposomes were subjected to five freeze–thaw cycles and 11 extrusion cycles through two 30-nm filters to form unilamellar liposomes. Liposomes were covered with argon, protected from light and stored at 4 °C until use.



**Fig. 1.** Time-course dependence of calcein–cobalt leakage from POPC liposomes in the presence of increasing concentrations of G2 PAMAM dendrimers. Inset displays the leakage percent after 30 min for each G2 concentration. Data represent the mean values of 2–4 experiments.

**Table 1**

Leakage rate constants of POPC liposomes in the presence of increased concentrations of G2 or G5 PAMAM dendrimers (values are the average of 3 or 4 independent measurements  $\pm$  S.D.). Items marked with asterisks are considered to be statistically different ( $P < 0.05$ ) from the control (no dendrimer present) sample.

	PAMAM dendrimer ( $\mu$ M)	Leakage rate constant <sup>a</sup> (% s <sup>-1</sup> 10 <sup>-3</sup> )
G2	0	0.49 $\pm$ 0.39
	2	0.63 $\pm$ 0.31
	4	1.47 $\pm$ 0.44*
	8	4.58 $\pm$ 1.61*
	20	3.13 $\pm$ 0.76*
G5	2	0.96 $\pm$ 0.03
	4	0.40 $\pm$ 0.25
	8	0.37 $\pm$ 0.04
	20	0.66 $\pm$ 0.16

<sup>a</sup> Leakage rate constant reported as the mean and standard deviation of 2–4 experiments. Items marked with an asterisk are considered to be statistically different ( $P < 0.05$ ) from the control (no PAMAM) sample.

### 2.3. Dynamic light scattering (DLS)

Liposome size was determined using a Nicomp submicron particle sizer (Particle Sizing Systems, Inc.; Santa Barbara, CA). Liposomes were diluted to 0.1 mM phospholipid in buffer and allowed to equilibrate to ambient temperature. Volume-weighting mode was used to calculate liposome size. Sizing was done in triplicate. Liposomes extruded at 30-nm were found to have a diameter of  $46 \pm 9$  nm.

### 2.4. Liposome leakage (calcein–cobalt)

Liposomes were evaluated for membrane integrity using a leakage assay described previously [34,35]. Briefly, the leakage assay used entrapped calcein–cobalt complex that has a low fluorescent signal. As calcein–cobalt leaks from the liposomes, external EDTA binds cobalt and free calcein fluorescence increases. Buffer solutions were modified as follows: the encapsulated leakage buffer contained 1 mM calcein, 2.4 mM CoCl<sub>2</sub>, 10 mM HEPES, pH 7.4; the column (external) buffer contained 10 mM HEPES, 10 mM EDTA, pH 7.4. Fluorescence was monitored using a Fluorolog 3–21 (Horiba-Jobin Yvon) fluorometer where samples were continuously stirred in 1-cm path length quartz cuvettes at 37 °C (measurements taken for 30 min at 5-s intervals). Measurements were repeated in quadruplicates. Leakage percentage ( $L$ ) was defined as

$$L = \frac{F_t - F_0}{F_{\max} - F_0} * 100, \quad (1)$$

where  $F_t$  was the fluorescent signal over time,  $F_0$  was the extrapolated initial signal at time zero, and  $F_{\max}$  was the fluorescent signal at the end of the experiment after rupture by addition of C<sub>12</sub>E<sub>8</sub> solution. The equation  $L_t = L_{\infty} (1 - \exp(-kt))$  was used to fit the data and obtain apparent rate constant  $k$  (ms<sup>-1</sup>) and maximum extent of liposome leakage ( $L_{\infty}$ ) prior to liposome rupture where appropriate.

### 2.5. Atomic force microscopy (AFM)

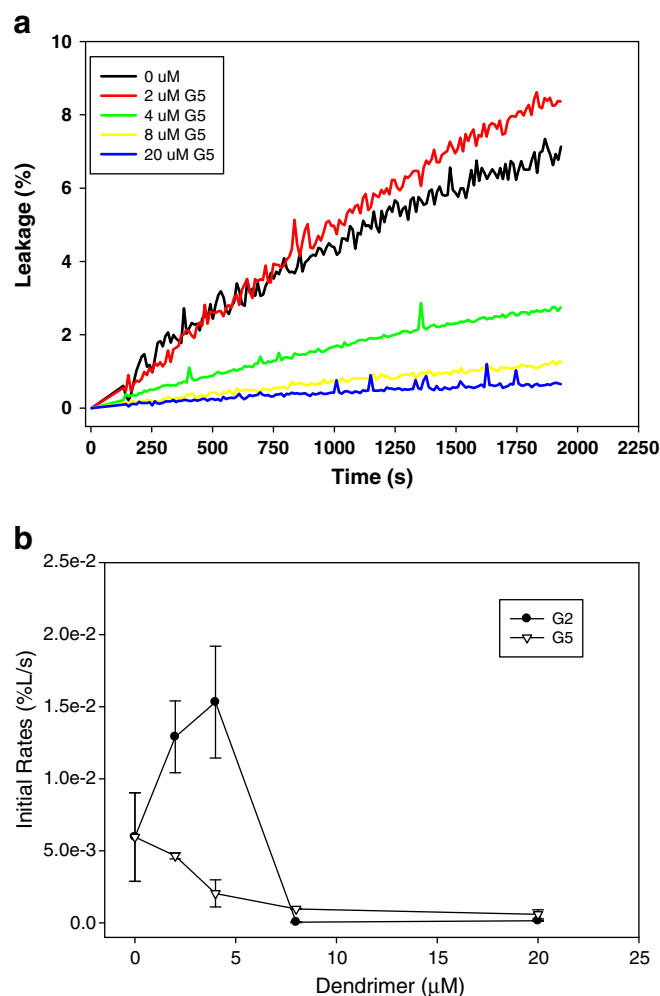
AFM imaging was obtained in HEPES buffer (10 mM HEPES, 100 mM NaCl, pH 7.4) using a Nanoscope IV controller (Bruker, Santa Barbara, CA). Images were scanned at a rate of 1 Hz. Liposomes were exposed to cleaned silica wafers (Wafer World, Inc., West Palm Beach, FL) and allowed to form a supported phospholipid bilayer (SPB). Excess liposomes were gently rinsed away. Dendrimers at appropriate concentrations were slowly and gently flowed into the cell via a 1-mL syringe and images were scanned 15 min later.

### 2.6. Quartz crystal microbalance with dissipation (QCMD) monitoring

Monitoring of liposome adsorption onto silica surfaces was accomplished using a QCM-E4 electronic system (Q-Sense, Inc., Västra Frölunda, Sweden) [36]. A negative shift in the resonance frequency of the crystal occurred when material adsorbed to the crystal surface. Thus, frequency shift ( $\Delta f$ ) correlated to mass adsorbed onto the sensor crystal. The adsorbed layer of mass not only caused a shift in the resonance frequency, but also caused the resonance frequency overtones to dampen and lose energy through frictional losses [37]. This loss in energy, or dissipation ( $\Delta D$ ), was large (typically  $> 1 \times 10^{-6}$ ) when the adsorbed mass was “soft,” and  $\Delta D$  was low ( $< 1 \times 10^{-6}$ ) when the adsorbed mass was stiffly bound.

Preheated (37 °C) buffer (10 mM HEPES, 100 mM NaCl, pH 7.4) was passed over the crystals prior to measurements to equilibrate the system. Once the signal remained stable for about 10 min ( $\Delta f < 1$  Hz), preheated liposome samples were passed over the crystals. Liposomes formed a supported bilayer (SPB) within minutes of adsorption. Data consisted of the acquisition of the fundamental frequency (5 MHz) and the 3rd, 5th, 7th, 9th, 11th, and 13th overtones (15, 25, 35, 45, 55, 65 MHz). All measurements were conducted at  $37.0 \pm 0.3$  °C.

The response of the resonance frequency of the quartz crystal microbalance depended on the total oscillating mass adsorbed to the sensor surface. Adsorption of solutes from the contacting buffer medium resulted in a decrease in frequency. If the attached mass was thin



**Fig. 2.** (a) Time-course dependence of calcein–cobalt leakage from POPC liposomes in the presence of increasing concentrations of G5 PAMAM dendrimers. Data represent the mean values of 2–4 experiments. (b) Comparison of initial rates from G<sub>2</sub>–COOH and G<sub>5</sub>–COOH leakage experiments.

( $\ll 300 \mu\text{m}$ ) in comparison to the crystal and rigid, then the mass could be calculated by the Sauerbrey equation [37]. The Sauerbrey equation is

$$\Delta m = \frac{-C \times \Delta f}{n}, \quad (2)$$

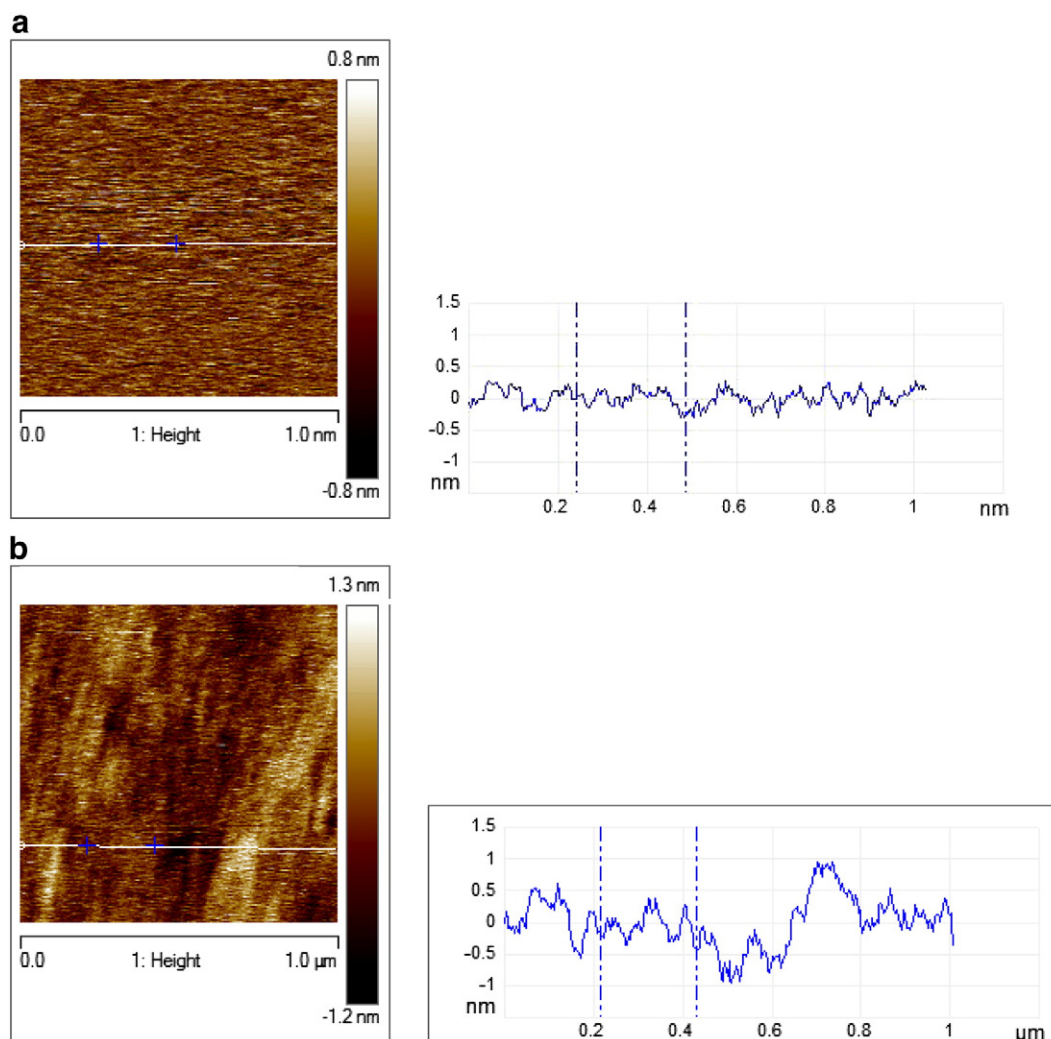
where  $C = 17.7 \text{ ng/cm}^2/\text{Hz}$  for a 5 MHz sensor crystal and  $n$  is the overtone number (1 for 5 MHz, 3, 5, 7, 9, 11, and 13 for the 3rd, 5th, 7th, 9th, 11th, and 13th overtones respectively). This calculation of adsorbed mass has been proven to be valid for thin lipid bilayers ( $\Delta D < 1 \times 10^{-6}$ ) and just slightly under representative ( $\sim 5\%$ ) for adsorbed unruptured liposomes ranging in thickness of several nanometers ( $\Delta D \geq 1 \times 10^{-6}$ ) [38,39].

### 3. Results and discussion

#### 3.1. Liposome leakage

Calcein–cobalt release from liposomes has been established as a useful method for determining phospholipid membrane permeability in the presence of aqueous molecules [35] and incorporated lipids [40]. Various concentrations of  $G_2\text{-COOH}$  and  $G_5\text{-COOH}$  PAMAM dendrimers were mixed with POPC liposomes containing the calcein–cobalt complex and fluorescence was used to monitor POPC liposome

stability. Fig. 1 demonstrates the percentage of leaked contents caused by the presence of  $G_2\text{-COOH}$  dendrimers interacting with POPC liposomes. With no  $G_2\text{-COOH}$  present, POPC liposomes had an extent of leakage that was approximately 6.8%. The addition of  $2 \mu\text{M}$   $G_2\text{-COOH}$  resulted in leakage that was just slightly faster (though statistically similar; Table 1) than leakage without  $G_2\text{-COOH}$  present. The addition of  $G_2\text{-COOH}$  also resulted in a slightly greater extent of leakage ( $\sim 8.9\%$ ). Liposome leakage rate and extent of leakage increased upon the addition of  $4 \mu\text{M}$   $G_2\text{-COOH}$ , indicating that  $G_2\text{-COOH}$  at 2 and  $4 \mu\text{M}$  increasingly disturb POPC bilayers (Table 1). The leakage data, however, developed a shape other than an “exponential-rise-to-maximum” after  $8 \mu\text{M}$   $G_2\text{-COOH}$  was mixed with leakage liposome. No longer could a single-exponential rise-to-maximum fit the data as was done for 2 and  $4 \mu\text{M}$  data, and a double-exponential did not well describe the data either. Data resulting from liposomes interacting with  $20 \mu\text{M}$   $G_2\text{-COOH}$  displayed a similar but more pronounced shape as that for  $8 \mu\text{M}$   $G_2\text{-COOH}$ . This shape in the leakage data has been described as a “stretched exponential” and considered to be due to aggregation [41,42]. Fitting the data to “stretched exponential” for kinetic analysis proved complex. Therefore, the data for 8 and  $20 \mu\text{M}$   $G_2\text{-COOH}$  were instead fit to a sigmoidal curve. Consequently, the discussion of kinetics analysis will focus on initial rates of the leakage process from henceforth. As for the extent of leakage, it continued to show a dependence on  $G_2\text{-COOH}$  concentration (Fig. 1, inset). The



**Fig. 3.** AFM images of POPC bilayer after exposure to (a) 0, (b) 2, (c) 4, (d) 8, and (e)  $20 \mu\text{M}$   $G_2\text{-COOH}$  PAMAM dendrimers in 10 mM HEPES, pH 7.4. Images were acquired at 1 Hz scan rate and corresponding section analysis is shown.



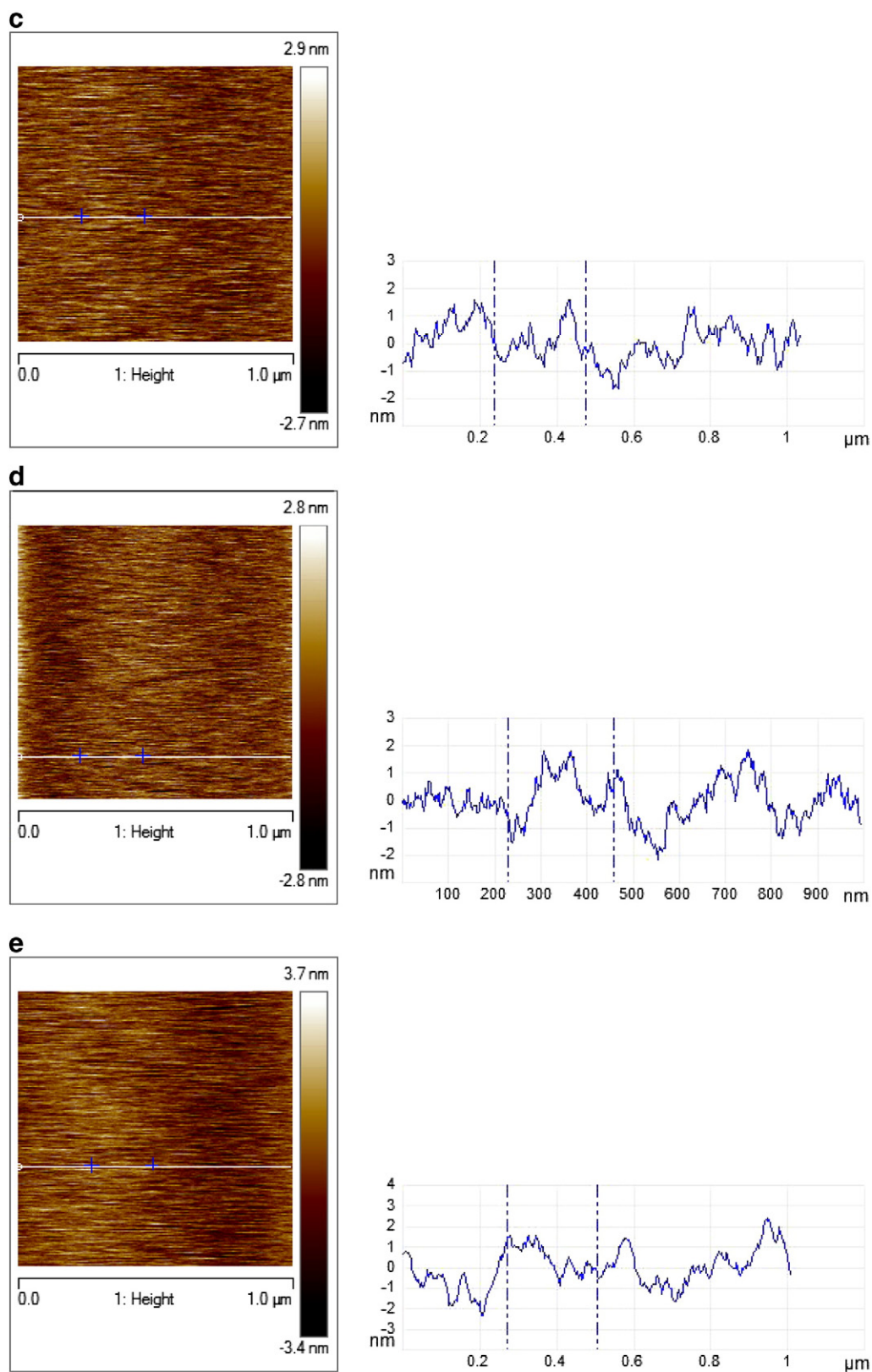


Fig. 3 (continued).

concentration dependence of the extent of leakage demonstrates that  $G_2$ -COOH interacts with bilayer [43], possibly through electrostatic interactions [12,14,17].

Contrastingly, POPC liposomes exposed to  $G_5$ -COOH PAMAM dendrimers at the same concentrations (2, 4, 8 and 20 μM) demonstrated

reduced leakage. Fig. 2a demonstrated the leakage percentage of POPC liposomes in the presence of increasing concentration of  $G_5$ -COOH PAMAM dendrimers. At all  $G_5$ -COOH concentrations explored, POPC liposomes experienced statistically the same leakage rate and a correlated decreased leakage percentage. The simplest explanation for this

decreased leakage percentage is that lipid packing was increased in the presence of G<sub>5</sub>-COOH dendrimers as it has been demonstrated that increased lipid packing reduces aqueous content leakage [44].

The strikingly different behaviors of G<sub>2</sub>-COOH and G<sub>5</sub>-COOH were further illustrated in the kinetics analysis using the initial rates of leakage (Fig. 2b). Initial rate plotted versus dendrimer concentration showed an increase with G<sub>2</sub>-COOH concentration up to 4  $\mu$ M, above which there was a sudden decrease in the initial rate. This suggests that leakage was retarded by the presence of high concentrations (8 and 20  $\mu$ M) of G<sub>2</sub>-COOH, possibly inducing aggregation. There are two possibilities for aggregation: the first possibility is that liposomes started to aggregate in the presence of a high concentration of negatively charged G<sub>2</sub>-COOH (8 and 20  $\mu$ M); or the second one is that the high concentration of negatively charged G<sub>2</sub>-COOH aggregated in the presence of the Co<sup>2+</sup>. Liposomal aggregation was ruled out by dynamic light scattering (DLS) measurements that showed liposomes incubated (for at least 10 min) with either 8 or 20  $\mu$ M G<sub>2</sub>-COOH in the absence or presence of Co<sup>2+</sup> did not vary in size from liposomes without G<sub>2</sub>-COOH (data not shown). This made the latter possibility more likely, although G<sub>2</sub>-COOH aggregation could not be ruled out or confirmed because DLS intensity was too low (DLS signal for G<sub>2</sub>-COOH was higher than buffer background but well below the minimum value for accurate sizing, indicating aggregates were too small and/or too few). G<sub>5</sub>-COOH, on the

other hand, displayed decreasing initial rates with decreased dendrimer concentration, consistent with increased lipid packing that would limit the efflux of solutes from the interior of liposomes.

### 3.2. AFM imaging

The interaction between G<sub>2</sub>-COOH or G<sub>5</sub>-COOH dendrimer and a SPB was further explored using AFM imaging. Fig. 3 displays the results of the interaction between a POPC SPB and G<sub>2</sub>-COOH dendrimers. Fig. 3a shows the SPB prior to G<sub>2</sub>-COOH exposure where no defects or undulations were found (roughness was  $0.23 \pm 0.02$  nm). Fig. 3b–e shows the SPB in the presence of 2, 4, 8, and 20  $\mu$ M G<sub>2</sub>-COOH, respectively. The SPB in the presence of 2  $\mu$ M G<sub>2</sub>-COOH displayed small defects (dark areas) and an undulation pattern (Fig. 3b; roughness  $0.33 \pm 0.03$  nm). The cross-section analysis (adjacent to Fig. 3b) revealed that the defects and undulations were not more than 1 nm below or above the planar surface of the SPB, respectively. POPC SPB exposed to 4, 8 or 20  $\mu$ M (Fig. 3c, d, e, respectively) revealed smaller defects and an increase in surface roughness ( $0.77 \pm 0.07$  nm,  $0.83 \pm 0.04$  nm and  $0.93 \pm 0.14$  nm, respectively). Undulations and defects approached 2 nm high or 2 nm deep, respectively, indicating that part of the top monolayer of lipids was disturbed and possibly removed.

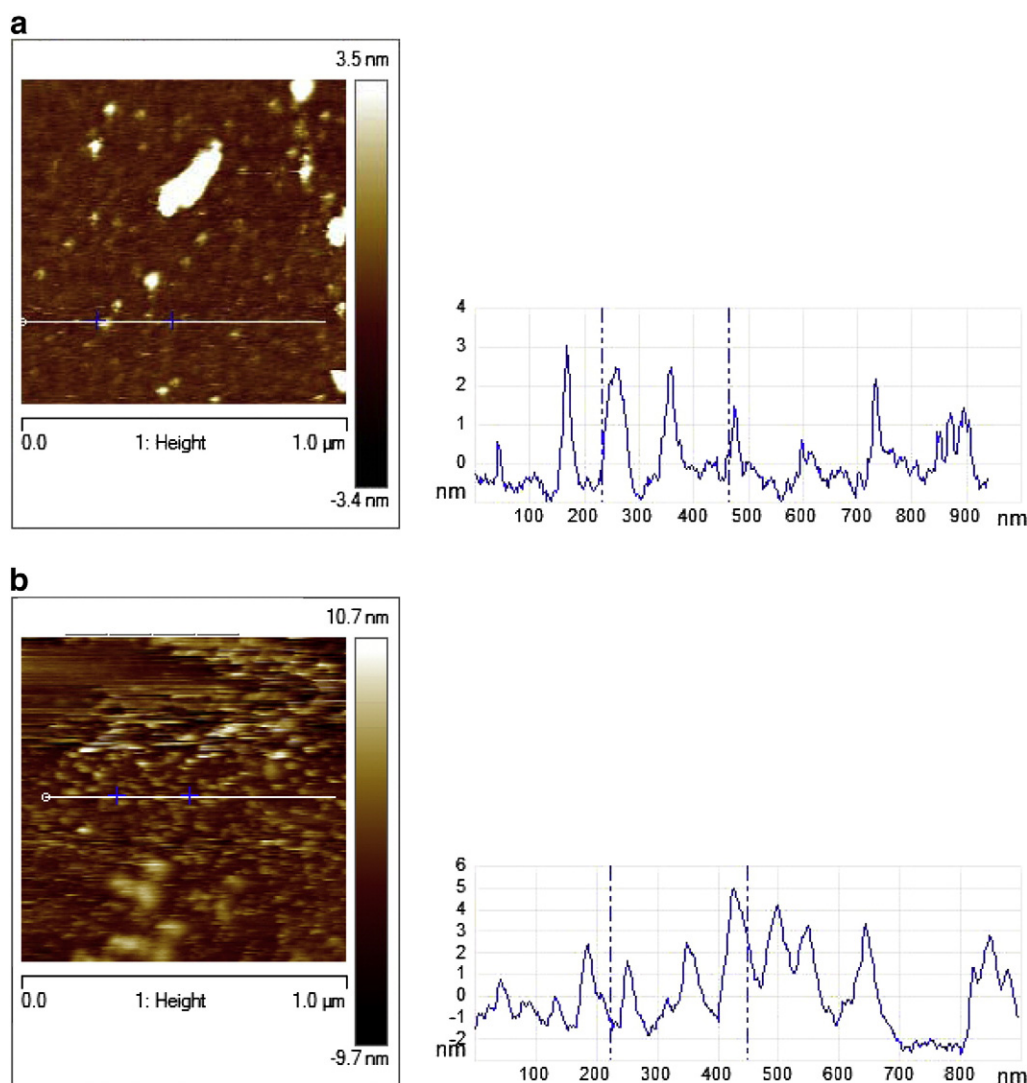


Fig. 4. Images of POPC bilayer after incubation with (a) 0, (b) 2, (c) 4, (d) 8, and (e) 20  $\mu$ M G<sub>5</sub>-COOH PAMAM dendrimers with representative section analysis.

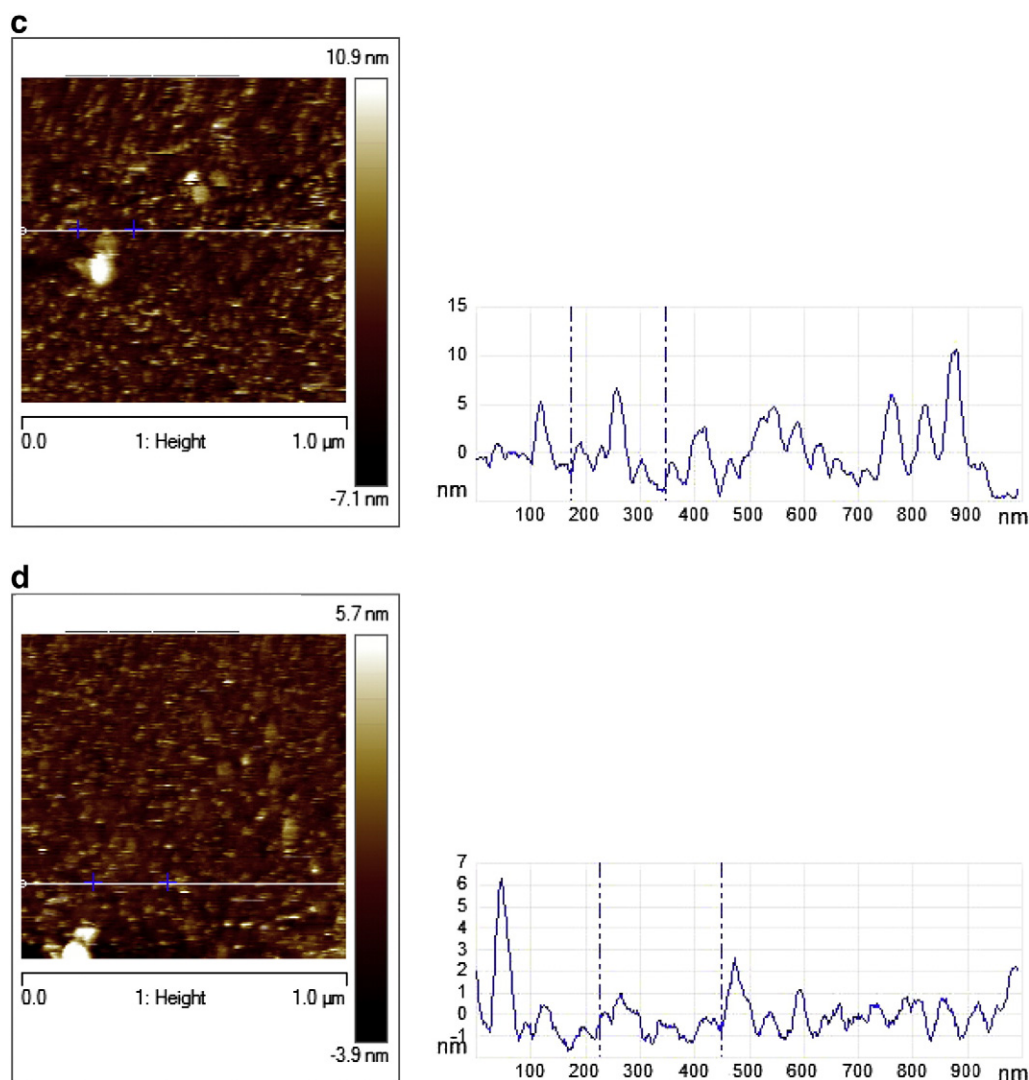


Fig. 4 (continued).

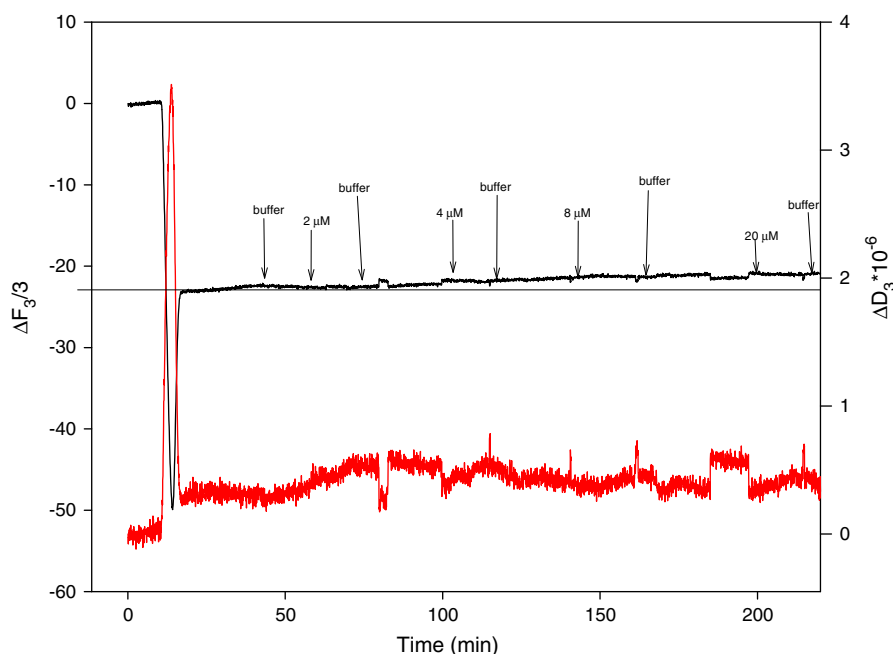
As for  $G_5$ -COOH, Fig. 4 shows the resulting POPC SPB during exposure to  $G_5$ -COOH. Small protrusions (typically less than 3 nm in height; Fig. 4a) formed after exposure to  $2 \mu M$   $G_5$ -COOH. The development of the protrusions also resulted in surface roughness increasing from 0.23 nm to 1.3 nm. Exposing the bilayer to  $4 \mu M$   $G_5$ -COOH produced a multitude of bilayer protrusions that ranged in height (4–10 nm), resulting in an increased surface roughness of 2.11 nm (Fig. 4c). Fig. 4d depicts the bilayer during exposure to  $8 \mu M$   $G_5$ -COOH. The bilayer was left with seemingly an increased number of protrusions that were as tall as 14 nm, but mostly around or below 7 nm high. The surface roughness increased to 2.67 nm. However, as seen in Fig. 4e, the protrusions became smaller (<5 nm tall) during the bilayer's exposure to  $20 \mu M$   $G_5$ -COOH. The smaller protrusions resulted in a reduction of the surface roughness to nearly 1 nm. This was probably due to the increased frequency with which the protrusions appeared and due to crowding (each protrusion limited the size of its nearest neighbors).

### 3.3. QCM-D measurements

Zwitterionic POPC was the chosen lipid for evaluating carboxyl-terminated  $G_2$ -COOH and  $G_5$ -COOH PAMAM dendrimers interacting with a SPB. Upon exposing the silica to POPC liposomes, a SPB was formed in the typical two-step process (Fig. 5) found for liposomes adsorbing onto silica at or above their phase transition temperature in salt-buffer

solutions [45–47]. The final  $\Delta f$  and  $\Delta D$  were approximately  $-22.4$  Hz and  $0.16 \times 10^{-6}$  (3rd overtone), respectively. This was well within range of final frequency and dissipation shifts found for POPC liposomes [48] and other phosphatidylcholine liposomes adsorbing onto silica [38].

Figs. 5 and 6 depict the profiles of  $\Delta f$  and  $\Delta D$  during interaction of carboxyl-terminated  $G_2$ -COOH and  $G_5$ -COOH with a POPC supported bilayer, respectively. During the exposure of POPC SPB to  $G_2$ -COOH (Fig. 5), there was no appreciable decrease in  $\Delta f$ , indicating that no mass adsorbed onto the SPB (thus,  $G_2$ -COOH did not adsorb to the bilayer). On the other hand, there was a small increase in  $\Delta f$  and  $\Delta D$  (final  $\Delta D$  values remained well below  $10^{-6}$ ), indicating a slight mass loss and increased softness. Dissipation values, however, remained below 1 which indicated that the Sauerbrey equation remained appropriate for analysis. Thus, the slight  $\Delta f$  increase translated into a small net mass loss ( $\sim 408 \pm 18$  ng/cm<sup>2</sup> before exposure;  $\sim 384 \pm 26$  ng/cm<sup>2</sup> after rinse). Assuming a slight mass loss, then this net mass loss translates into about 94% of the original mass remaining intact, clearly showing no  $G_2$ -COOH adsorbed. This is consistent with the AFM images that showed small defects had formed in the POPC SPB while exposed to  $G_2$ -COOH and explains the leakage data (small defects resulted in leakage, but not catastrophic disruption of the bilayer). Additionally, the findings here that  $G_2$ -COOH created non-catastrophic defects in phosphatidylcholine bilayer are similar



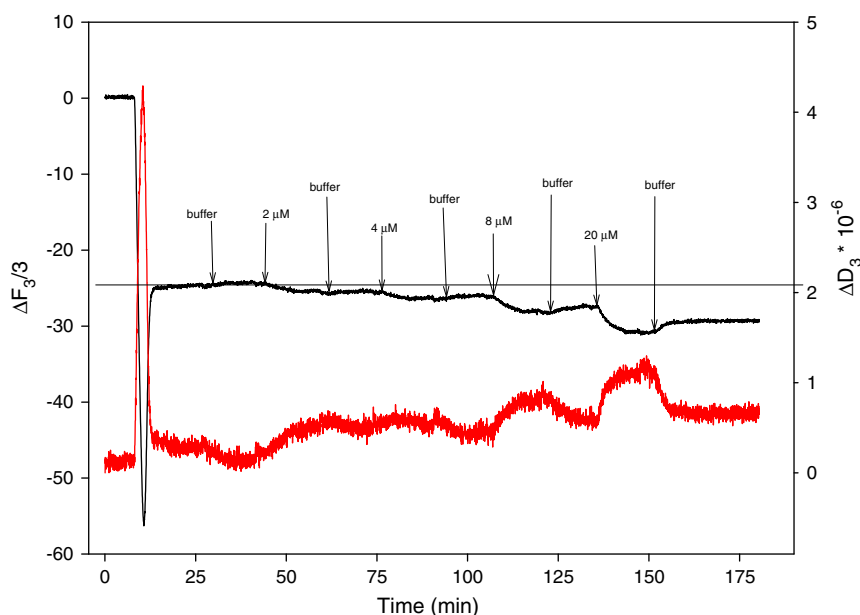
**Fig. 5.** Typical QCM-D response after 2, 4, 8, and 20  $\mu\text{M}$   $\text{G}_2\text{-COOH}$  PAMAM dendrimers interacted with a POPC bilayer in 10 mM HEPES, pH 7.4. The arrows indicate buffer and  $\text{G}_2\text{-COOH}$  injections.

to those presented by Mecke et al. (2004) [31] where they found carboxyl-terminated dendrimers to attack the edges of defects but not be catastrophic to the bilayer. It should be noted that their work was done with 1,2-dimyristoylphosphatidylcholine (DMPC) bilayers in unbuffered saline solution with generation 7 dendrimers.

In relationship to other similar works, the net mass loss observed here is small when compared to the net mass loss ( $\sim 150 \text{ ng/cm}^2$ ) measured by Parimi et al. (2008) for 100 nM amine-terminated  $\text{G}_2$  [21] interacting with DMPC bilayers in a similar HEPES-based buffer (10 mM HEPES, pH 7.4 with or without saline). This was not surprising considering that amine-terminated PAMAM was found to be more disruptive to phospholipid membranes than carboxyl-terminated PAMAM in comparative studies [29,31,32]. Therefore, it is reasonable

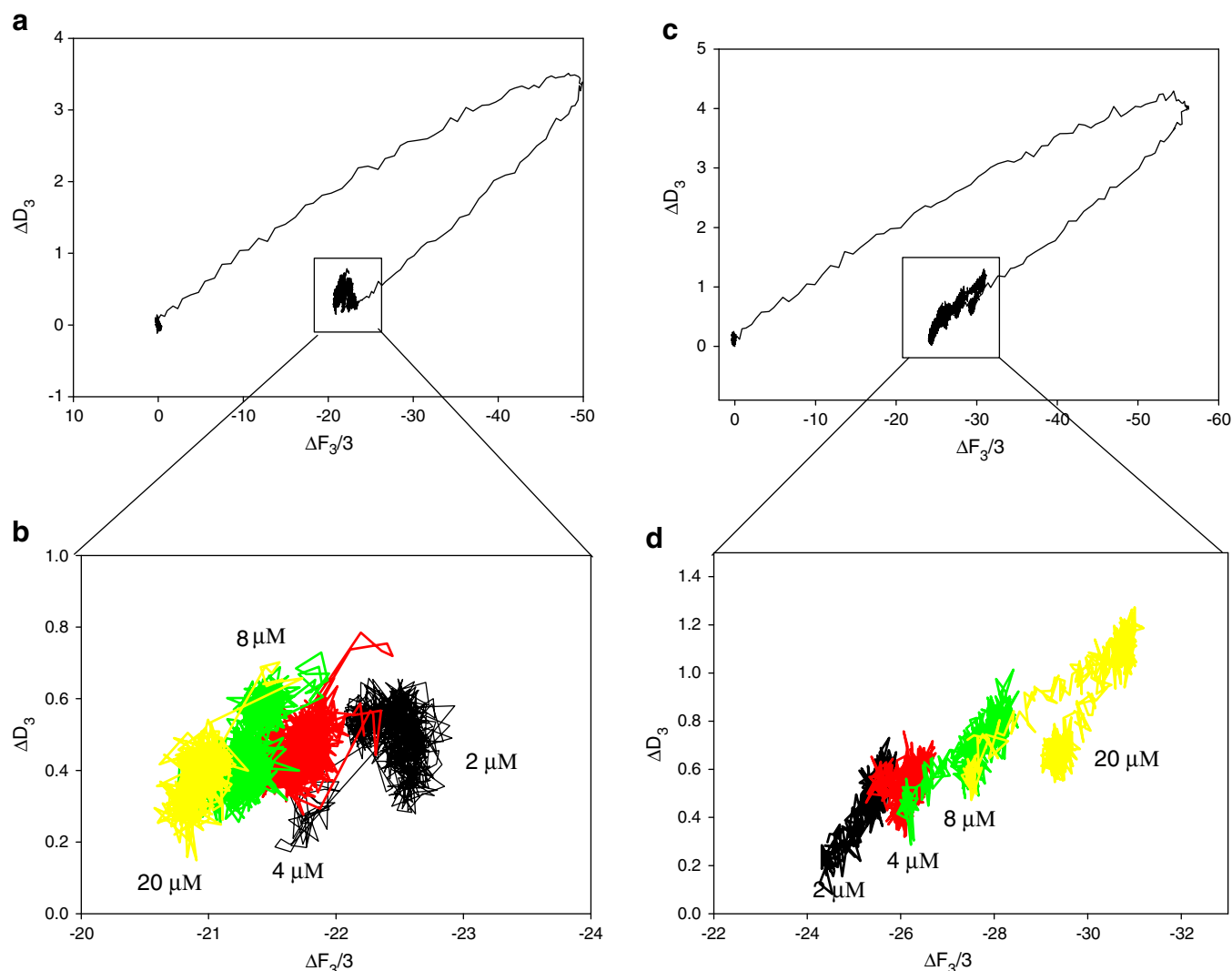
to expect  $\text{NH}_3^+$ -terminated  $\text{G}_2$  to be more potent at lower concentrations than  $\text{G}_2\text{-COOH}$  PAMAM. Overall, leakage and QCMD data in this work indicated that  $\text{G}_2\text{-COOH}$  interacted with a POPC SPB in a rapid, dynamical but weak nature, generating defects. This work also demonstrated that  $\text{G}_2\text{-COOH}$  PAMAM dendrimers did not have to penetrate the bilayer to induce leakage, similar to the results found by Akesson et al. (2012) [49] where they demonstrated that PAMAM  $\text{G}_6$  dendrimers could induce leakage without penetrating the liposome lumen.

As Fig. 6 depicts,  $\text{G}_5\text{-COOH}$  adsorption clearly resulted in the addition of mass as the POPC bilayer was exposed to 2 to 20  $\mu\text{M}$   $\text{G}_5\text{-COOH}$  as evident by  $\Delta f$  decreasing to a final value of approximately  $-29 \text{ Hz}$ . From 2 to 4  $\mu\text{M}$ , the added mass remained a rigid film as indicated



**Fig. 6.** Typical QCM-D response after the adsorption of 2, 4, 8, and 20  $\mu\text{M}$   $\text{G}_5\text{-COOH}$  PAMAM dendrimers onto a POPC bilayer in 10 mM HEPES, pH 7.4.  $\text{G}_5\text{-COOH}$  and buffer injections are indicated by arrows above the frequency shift – black line.





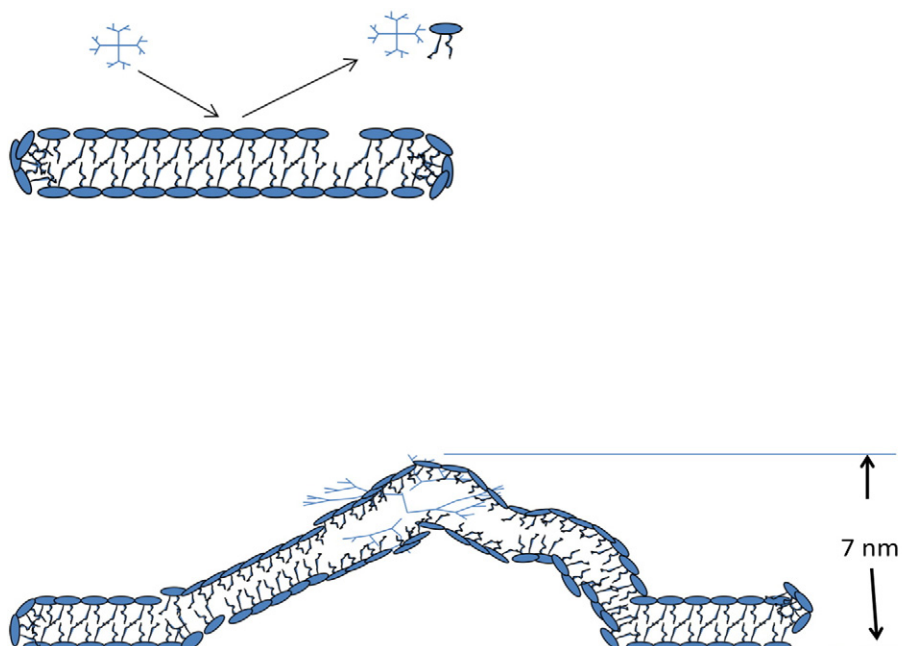
**Fig. 7.**  $\Delta D$  vs  $\Delta f$  plots of G<sub>2</sub>-COOH and G<sub>5</sub>-COOH adsorption onto POPC bilayers: (a,c) adsorption process of POPC liposomes and dendrimers; (b,d) enlargement of adsorption process of G<sub>2</sub>-COOH and G<sub>5</sub>-COOH, respectively. The colors are used (b,d) to emphasize each dendrimer concentration addition (2  $\mu$ M – black, 4  $\mu$ M – red, 8  $\mu$ M – green, 20  $\mu$ M – yellow); displayed data were reduced to every 5th point for clarity.

by  $\Delta D$  value around  $0.4 \times 10^{-6}$ . With each exposure to G<sub>5</sub>-COOH above 4  $\mu$ M, the bilayer became more dissipative. This is in good agreement with the AFM images that show increased local swelling on the bilayer surface after exposure to increased amounts of G<sub>5</sub>-COOH. It was also noted that there was a slight increase in  $\Delta f$  after each subsequent buffer rinse, indicating that the frequency shifts were partially due to G<sub>5</sub>-COOH in the bulk liquid.

Additional information was gleaned by displaying  $\Delta D$  versus  $\Delta f$ , which removes time as an explicit parameter of the adsorption process, providing a direct correlation of energy dissipation ( $\Delta D$ ) and adsorbed mass ( $\Delta f$ ) [49,50]. Fig. 7a and c depicts the full adsorption process of POPC liposomes and dendrimers. Clearly POPC liposomes exhibited the typical restructuring found for intact liposome adsorption and subsequent rupturing on silica surfaces [51] and agreed well with the behavior previously reported for POPC liposome fusion on silica [52]. Depicted in Fig. 7b and d are the  $\Delta D$ – $\Delta f$  plots for G<sub>2</sub>-COOH and G<sub>5</sub>-COOH adsorption, respectively. In Fig. 7b it was clearly noticed that no mass was added during the interaction of each concentration of G<sub>2</sub>-COOH (depicted by an individual color). Second, the slopes of the  $\Delta D$ – $\Delta f$  plots for G<sub>2</sub>-COOH were not well defined, which suggested that no structural changes occurred and contributions made by G<sub>2</sub>-COOH interaction with the bilayer were more to the frequency shift than to the dissipation shift [52]. Finally, the plot shows a

clear but marginal shift toward lower  $\Delta D$  and lower  $\Delta f$  after each subsequent concentration injection. This indicated that each subsequent G<sub>2</sub>-COOH injection resulted in slight mass displacement that left the bilayer marginally more rigid. The small mass loss could be small amounts of lipids [53] and/or water.

In Fig. 7d it was noticed that the slopes of the  $\Delta D$ – $\Delta f$  plot for G<sub>5</sub>-COOH are well-defined and each injection had two linear sections nearly parallel to one another. This indicates several things. First, the sections of  $\Delta D$ – $\Delta f$  per injection after rinsing indicate that more energy is associated with dissipation changes and not frequency for each injection of G<sub>5</sub>-COOH. Secondly, the near parallel behavior of each injection indicates that each injection interacted similarly with the bilayer. In other words, there was no concentration dependent structural rearrangement of G<sub>5</sub>-COOH as it encountered the membrane. Finally, the  $\Delta D$ – $\Delta f$  plot clearly shows that each injection and adsorption of G<sub>5</sub>-COOH led to a less rigid layer. Analysis of the (G<sub>5</sub>-COOH adsorbed) bilayer thickness showed the bilayer changed from 5 nm to ~7 nm (data not shown). This agrees well with AFM section analysis of locally swollen bilayer (Fig. 4). Based on the hydrodynamic radius of G<sub>5</sub>-COOH (3 nm) [54], this thickness was less than that expected if G<sub>5</sub>-COOH adsorbed on top of the bilayer (~10–11 nm) or that of dendrosomes that may form (~13 nm), as suggested for amine-terminated dendrimers of G<sub>2</sub> to G<sub>5</sub> [22,28]. It was thus concluded that G<sub>5</sub>-COOH



**Scheme 1.** Representation of  $G_2$ -COOH and  $G_5$ -COOH interaction with POPC supported phospholipid bilayer. The top panel demonstrates the dynamic nature of the interaction that is believed to occur between  $G_2$ -COOH and POPC. The bottom panel illustrates the resultant local bilayer swelling caused by the binding of  $G_5$ -COOH to a POPC bilayer.

flattened as it approach the bilayer as seen for  $G_3$  dendrimer by molecular simulations [17].

The difference between the interactions of  $G_2$ -COOH and  $G_5$ -COOH with POPC bilayer may be explained by molecular model calculations conducted by Kelly et al. (2008) [34]. In their work, models showed that larger dendrimers approaching the liposomal surface extended more than smaller dendrimers. Therefore, it is expected that  $G_2$ -COOH would extend less toward a liposomal surface, leaving its core more protected from interactions. However, this does not fully explain the non-adsorption of  $G_2$ -COOH to the bilayer, as it would be expected that the negative charge of the  $G_2$ -COOH and the zwitterionic characteristic of the bilayer would lead to adsorption [55–57]. A better explanation is that POPC, like DOPC, has an isoelectric value of nearly 4 due to asymmetric binding of hydronium and hydroxide ions [58]. The asymmetric binding of hydronium and hydroxide ions would lead to a varying concentration of hydronium and hydroxide forms of POPC [32], ultimately disturbing effective binding above pH 6.5 [32,59,60]. Our observation that  $G_2$ -COOH did not adsorb onto the POPC bilayer is consistent with this theory and agrees with the findings of Zhang et al. (2011).  $G_5$ -COOH, on the other hand, would extend more to expose its core and is able to interact more readily with the bilayer because of its exposed hydrophobic core. The exposed core is inserted into the bilayer, inducing the lipids to rearrange near the hydrophobic core. As the core inserts and lipids move to accommodate the dendrimer, water is able to flow across the bilayer. This excess water then pushes against the bilayer, causing the bilayer to curve at the point of dendrimer insertion. Thus, local swelling of the bilayer occurred, entrapping more water. And it is this entrapped extra water that gave the bilayer an increasingly dissipative behavior as evident in QCMD data in Fig. 6. This concept fits with a similar theory [17]. Thus, a model of interaction (Scheme 1) was suggested where  $G_2$ -COOH interacts without absorbing to a POPC bilayer but creates small defects, and  $G_5$ -COOH penetrated into the bilayer (right), causing the bilayer to swell locally. The implications here are that the best avenue to combine liposomes with either  $G_2$ -COOH or  $G_5$ -COOH would be to add  $G_2$ -COOH to the buffer so as to encapsulate  $G_2$ -COOH within the entrapment aqueous contents or embed  $G_5$ -COOH within the bilayer membrane.

#### 4. Conclusion

Carboxyl-terminated dendrimers of generations 2 and 5 ( $G_2$ -COOH and  $G_5$ -COOH, respectively) were explored for their interactions with 1-palmitoyl-2-oleoyl phosphocholine (POPC) bilayers.  $G_2$ -COOH PAMAM proved to create increased leakage with increased concentrations in POPC liposomes. Based on QCMD measurements, we believe that carboxyl-terminated  $G_2$ -COOH and POPC liposome interaction was dynamic, resulting in local membrane defects that allowed liposomes to leak. This is in contrast to the findings for amine-terminated dendrimers where  $G_2$ -NH<sub>2</sub> was found to bind statically within the lipid bilayers and significantly disrupt the bilayer [22,28]. On the other hand,  $G_5$ -COOH appeared to statistically bind to POPC liposomes, induced increased lipid packing and local swelling. These results indicated a size-dependent interaction of carboxyl-terminated dendrimers with POPC bilayers. The difference in behavior of  $G_2$ -COOH versus  $G_5$ -COOH dendrimers may involve accessibility of the dendrimer core where  $G_2$ -COOH extends less and has lower asphericity (maintains its spherical nature) which resulted in less interaction with the membrane;  $G_5$ -COOH, on the other hand, extends more to have greater asphericity so that it binds irreversibly and is flat to the bilayer.

#### Acknowledgements

The authors are indebted to Nathan Whitman for his technical assistance.

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